



AUSTRALIAN NATIONAL WORKING EQUITATION	Risk Management Policy
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Responsible Portfolio:	Board
Authority	This Policy is made in accordance with ANWEL Constitution. It is binding on all Members of ANWEL.
Signature	
Related Policies/Documents	Integrity Framework Code of Conduct Horse and Livestock Welfare Policy Risk Management Plan Template Event Risk Management Form Incident Report Form

1. Introduction

Australian National Working Equitation Limited (ANWEL) Board view risk management as integral to achieving its core objectives of:

- a. promoting the safe and proper conduct of the sport working equitation in Australia
- b. increasing participation, promoting and developing the sport of working equitation
- c. administering the sport with good governance and ensuring financial sustainability.

This Policy outlines ANWEL's approach to risk management which is based on the international standard ISO31000. It describes the key principles and responsibilities to facilitate effective management of risk for working equitation in Australia

2. Purpose and scope

The purpose of this policy is to set out the key principles and expectation to support the management of risk to working equitation activities, objectives and strategy and to promote integrity in ANWEL's decision making.

This Policy applies to all affiliates, clubs, members and volunteers of ANWEL and to any other person notified that this Policy applies to them.

3. Definition

Risk is the effect of uncertainty in achieving ANWEL's objectives. An effect may be a positive or negative deviation from what is expected. Role and responsibility

4.1 All ANWEL Clubs are responsible for:

- a. understanding their responsibilities and appropriately managing the risk associated with their related activities
- b. identifying, understanding and managing any relevant or emerging risk matters related to their club activities
- c. developing appropriate action plans to manage a risk by reducing the risk exposure
- d. ensuring that relevant stakeholders who may be impacted by their decision to accept a risk without putting in place actions to further mitigate it, are aware and understand the potential consequences
- e. appropriately documenting risks, mitigation/treatment(s), risk management plans and risk decisions
- f. completing (as a minimum) the Event Risk Management Form FM.001 prior to any Club event
- g. continuing to monitor and review risks reporting and escalating any actual or perceived risks/incidents (refer Incident Report Form FM.002) that may impact working equitation in Australian to their State Association as they become known
- h. maintaining adequate insurance for risks relevant to the Club's activities.

4.2 The ANWE State Associations are responsible for:

- a. communicating this Policy to their members
- b. providing appropriate advice to Clubs on regional risk and compliance matters
- c. ensuring that Clubs within their jurisdiction have developed appropriate risk and safety management plans to conduct working equitation activities
- d. identify and manage risks relevant to the State Association's activities
- e. monitoring and reporting risk and safety related incidents and trends to ANWEL

- f. maintaining adequate insurance for risks relevant to the State Association's activities.

4.3 The ANWEL Board is responsible for:

- a. overseeing and monitoring the assessment and management of risk across the sport of working equitation in Australia
- b. establishing an ANWEL risk profile that includes both financial and non-financial material and/or strategic risks
- c. reviewing risks on a biennial basis, including identifying new risks and any changes to existing risks
- d. monitoring the status of risks including relevant mitigation /treatment(s)
- e. compliance with regulatory requirements and best practice
- f. maintaining adequate insurance for risks relevant to the ANWEL Board's activities.

4. Policy breach

Non-compliance to this Policy may result in the termination of Memorandums of Understanding and or membership of ANWEL.

5. Resources

Risk Management Plan Template TMP.001

Event Risk Management Form FM.001

Incident Report Form FM.002